

## **CONDUCT OF BUSINESS MODULE (COB) INSTRUMENT (NO 149) 2015**

The Board of the Dubai Financial Services Authority in the exercise of the powers conferred on them by Article 23 of the Regulatory Law 2004, hereby make the Rules in the appendix to this instrument. The appendix to this instrument also contains the guidance made by the Chief Executive in the exercise of the powers conferred on him under the Regulatory Law 2004.

### **Commencement:**

- (1) This instrument is made on 11 February 2015 and shall come into force on 1 April 2015.

### **Amendments to Modules:**

- (2) The Conduct of Business (COB) module – (COB/VER23/08-14) is repealed and replaced by Appendix 1 to this instrument and may be identified by the following reference – (COB/VER24/04-15).

### **Citation:**

- (3) This instrument may be cited as the Conduct of Business Rule-making Instrument (No. 149) 2015.
- (4) Appendix 1 to this instrument may be cited as the Conduct of Business module or COB.

**By Order of the Board**

**Saeb Eigner**  
**Chairman**  
11 February 2015  
RM149/2015

**Ian Johnston**  
**Chief Executive**