

Appendix 6

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Auditor Module

(AUD)

4 GENERAL OBLIGATIONS

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4.7 ~~Method of notification~~ Communication with the DFSA

- 4.7.1 (1) ~~Unless a Rule states otherwise, a Registered Auditor must ensure that each notification it provides to the DFSA is:~~
- ~~(a) in writing and contains the Registered Auditor's name and reference number; and~~
 - ~~(b) addressed to the attention of the Supervision Department and delivered to the DFSA.~~
- (2) ~~A Registered Auditor must ensure that it obtains confirmation of receipt from the DFSA where it provides a notification referred to in (1).~~

A Registered Auditor must ensure that any communication with the DFSA is conducted in the English language.

Guidance

GEN section 6.10 sets out Rules about how information is to be provided to the DFSA.

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