

Appendix 4

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Recognition

(REC)

3 GENERAL PROVISIONS

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- 3.5.3** (1) ~~If a Recognised Body fails to submit its annual report to the DFSA within the period specified in Rule 3.5.1, or submits an annual report that does not include all of the information required under Rule 3.5.2, such failure to submit a duly completed report incurs a late submission fee of \$1,000.~~
- (2) ~~Nothing in this Rule limits the right of the DFSA to take any other action.~~

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