

CODE OF MARKET CONDUCT (CMC) GUIDANCE INSTRUMENT (No. 9) 2014

The Board of the Dubai Financial Services Authority in the exercise of the powers conferred on them by Article 20 (2) of the Regulatory Law 2004, hereby approves and issues the Guidance in the appendix to this instrument.

Commencement:

- (1) This instrument is made on 10 December 2014 and shall come into effect on 1 January 2015.

Modules:

- (2) The Code of Market Conduct (CMC) module in Appendix 1 to this instrument may be identified by the following reference – CMC/VER1/01-15.

Citation

- (3) This instrument may be cited as the Code of Market Conduct Guidance Instrument (No.9) 2014.
- (4) Appendix 1 to this instrument may be cited as the Code of Market Conduct module or CMC.

By Order of the Board

**Saeb Eigner
Chairman**

10 December 2014

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