

Appendix 2

In this appendix underlining indicates new text and striking through indicates deleted text.



The DFSA Rulebook

Anti Money Laundering Module

(AML)

2 APPLICATION AND PURPOSE

2.1 Application

2.1.1 This module (AML) applies, subject to Rule 2.1.3, to every Authorised Firm.

2.1.2 This module also applies to the Money Laundering Reporting Officer (MLRO) of an Authorised Firm in his capacity as an Authorised Individual.

2.1.3 AML does not apply to a Representative Office.

Guidance

The AML provisions for Representative Offices are set out in REP and consist of similar provisions to those in AML. These REP provisions have been appropriately adapted to cover the activities performed by a Representative Office in the DIFC.